

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

Mark W. Rasmussen, Receiver for AriseBank
Plaintiff

v.

3:18-cv-01034-B
Civil Action No.

Richard Smith, Jr., and Kurt F. Matthew, Jr.
Defendant

CERTIFICATE OF INTERESTED PERSONS

(This form also satisfies Fed. R. Civ. P. 7.1)

Pursuant to Fed. R. Civ. P. 7.1 and LR 3.1(c), LR 3.2(e), LR 7.4, LR 81.1(a)(4)(D), and LR 81.2,
Mark W. Rasmussen, as Receiver for AriseBank,

provides the following information:

For a nongovernmental corporate party, the name(s) of its parent corporation and any publicly held corporation that owns 10% or more of its stock (if none, state "None"):

****Please separate names with a comma. Only text visible within box will print.***

None.

A complete list of all persons, associations of persons, firms, partnerships, corporations, guarantors, insurers, affiliates, parent or subsidiary corporations, or other legal entities that are financially interested in the outcome of the case:

****Please separate names with a comma. Only text visible within box will print.***

As Receiver for AriseBank, Mark W. Rasmussen is suing to recover assets of the receivership, which may be distributed to the creditors of AriseBank. However, Mr. Rasmussen does not yet know the identity of creditors with valid claims and therefore is not currently aware of anyone, other than the Defendants, who has a financial interest in the outcome of this litigation.

Date: April 24, 2018
Signature: /s/ James A. Cox
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